

Compliance Checklist & Event Documentation



Objectives

- Describe 5 potential issues that could be documented on the Compliance checklist
- Demonstrate your ability to describe an event

Compliance Checklist

- Standard form in the Observer Logbook
- Complete after your trip
- Series of Yes/No questions to summarize issues you encountered
- Refer to additional documentation as necessary

Compliance Checklist

Fishing Regulations	
Fish within any inshore exclusion zone	Y / N / U
Fish within any marine protected area	Y / N / U
Presence on board a fishing vessel of any fishing nets, whose mesh sizes do not conform to prescribed standards	Y / N / U
Use any sort of liner or device to obstruct mesh (trawl and other net fisheries)	Y / N / U
Presence on aboard of respiratory equipment (e.g. scuba), harpoon or dangerous fishing weapon (except as a safety precaution)	Y / N / U
Use of explosives, chemicals, poisons or other noxious substances, electrical currents or headlamps, fire-arms, light or automatic traps or any other devices likely to destroy aquatic fauna and the aquatic environment	Y / N / U
Permit/licence conditions	
Target species other than those they are licenced to target	Y / N / U
Use a fishing method other than the method they are licensed to use	Y / N / U
Retain sublegal size fish (varies by species)	Y / N / U
Sell or possess of any protected fishery resources (e.g., turtles, mammals)	Y / N / U
Sell / trade fish at sea	Y / N / U
Not display or present a valid (and current) licence document onboard	Y / N / U
Not display appropriate vessel markings	Y / N / U
MARPOL	
Dumping of industrial, agricultural or domestic waste	Y / N / U
Dumping of plastic	Y / N / U
Discharge of oil	Y / N / U
Dumping of any of the above by another vessel	Y / N / U
Observer/Vessel personnel Interactions	
Request that an event not be reported	Y / N / U
Offered a bribe to modify your data	Y / N / U
Hinder the observer in the carrying out of their duties	Y / N / U
Intimidated or harassed at any time	Y / N / U

Compliance Checklist

If you answered yes to any of the above, please provide more detail on the event and indicate the logbook page(s) where the event is documented more thoroughly.

Date / Explanation
Date / Explanation
Date / Explanation
Observer signature / Date
Version 1.2 6/2011

Documentation - manual 17.2.3

- Any time there's an event that seems noteworthy (ie violations)
- Who
- What
- When
- Where
- Why
- How

Documentation

- Who:** Identify the vessel by name, permit number and vessel type.
- Identify the individuals and witnesses involved by first and last name, position on the vessel and function/duties. Utilize the crew list compiled for the Trip Summary Form.
 - Identify who was notified and the nature of their reaction.

Documentation

What: Describe the event(s) and circumstances in narrative form.

- Include what made you suspicious.
- Detail what was discovered when you looked into the matter
- Describe what occurred (or didn't occur) as a result of your discussions with the captain and crew.
- Use direct quotes whenever possible.
- Record each instance of a suspected violation

Documentation

- **When:** Identify the exact or approximate time of the suspected violation (i.e., time and date). Include the haul or delivery number if appropriate.
- **Where:** Record the vessel's position (latitude/longitude) or the plant location at the time of the suspected violation. Describe where on the vessel the suspected violation occurred. Draw a diagram if applicable.

Documentation

Why: Attempt to determine why the suspected violation occurred. Include your own observations and conversations with the crew members that substantiate why the violation may have occurred. Be as objective as possible and cite factors which may provide mitigating or aggravating information.

Documentation

How: describe the effect the possible violation had on your ability to perform your observer duties. If harassment or intimidation is involved, describe how these actions made you feel.

Example?

- Who
- What
- When
- Where
- Why
- How

Summary

- What are the potential issues that could be documented on the Compliance checklist?